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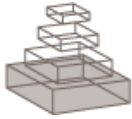
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O. Herreras, V. Makarov and A. Fernández--Ruiz

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## **Sustained increase of spontaneous input and spike transfer in the CA3-CA1 pathway following long term potentiation in vivo**

Oscar Herreras, Valeri Makarov and Antonio Fernández-Ruiz

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2           **CA3-CA1 pathway following long term potentiation in vivo**

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5 Antonio Fernández-Ruiz<sup>1</sup>, Valeri A. Makarov<sup>2</sup> Oscar Herreras<sup>1\*</sup>

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7 <sup>1</sup>Dept. of Systems Neuroscience, Cajal Institute-CSIC, Madrid, Spain.

8 <sup>2</sup>Dept. of Applied Mathematics, Universidad Complutense of Madrid, Madrid, Spain.

9  
10  
11  
12  
13 **\*Correspondence:**

14 Dr. O. Herreras

15 Instituto Cajal - CSIC

16 Dept. Systems Neuroscience

17 Av. Doctor Arce 37

18 Madrid 28002, Spain.

19 e-mail: [herreras@cajal.csic.es](mailto:herreras@cajal.csic.es)

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25

26 **Abstract**

27

28 Long term potentiation (LTP) is commonly used to study synaptic plasticity but the associated  
29 changes in the spontaneous activity of individual neurons or the computational properties of neural  
30 networks *in vivo* remain largely unclear. The multisynaptic origin of spontaneous spikes makes  
31 difficult estimating the impact of a particular potentiated input. Accordingly, we adopted an  
32 approach that isolates pathway-specific postsynaptic activity from raw local field potentials (LFPs)  
33 in the rat hippocampus in order to study the effects of LTP on ongoing spike transfer between cell  
34 pairs in the CA3-CA1 pathway. CA1 Schaffer-specific LFPs elicited by spontaneous clustered  
35 firing of CA3 pyramidal cells involved a regular succession of elementary micro-field-EPSPs  
36 (gamma-frequency) that fired spikes in CA1 units. LTP increased the amplitude but not the  
37 frequency of these ongoing excitatory quanta. Also, the proportion of Schaffer-driven spikes in both  
38 CA1 pyramidal cells and interneurons increased in a cell-specific manner only in previously  
39 connected CA3-CA1 cell pairs, i.e., when the CA3 pyramidal cell had shown pre-LTP significant  
40 correlation with firing of a CA1 unit and potentiated spike-triggered average of Schaffer LFPs  
41 following LTP. Moreover, LTP produced subtle reorganization of presynaptic CA3 cell assemblies.  
42 These findings show effective enhancement of pathway specific ongoing activity which leads to  
43 increased spike transfer in potentiated segments of a network. These indicate that plastic  
44 phenomena induced by external protocols may intensify spontaneous information flow across  
45 specific channels as proposed in transsynaptic propagation of plasticity and synfire chain  
46 hypotheses that may be the substrate for different types of memory involving multiple brain  
47 structures.

48

49 **Keywords**

50 synaptic plasticity, local field potentials, long term potentiation, independent component analysis,  
51 synfire chain, spontaneous activity, neuronal circuits.

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53

## 54 **1. Introduction**

55

56 The information flow between brain nuclei is made through synchronous activity in rapidly  
57 changing neuron combinations or cell assemblies (Stevens and Zador, 1998; Kumar et al., 2010).  
58 Such flow can be modulated by synaptic plasticity, a crucial mechanism in basic cognitive  
59 processes such as memory, learning and adaptation (Martin et al., 2000; Lynch, 2004; Kandel,  
60 2009). Specific cell assemblies in the CA1 region of the hippocampus are thought to encode  
61 sequential memories (Manns et al., 2007; Dupret et al., 2010; MacDonald, 2011), while the activity  
62 in the upstream CA3 region has been considered pivotal in the detection of novelty and sensory  
63 habituation by the hippocampus (Vinogradova, 2001). From the mechanistic point of view, it is  
64 difficult to relate behavioral and cognitive functions requiring long lasting changes in neural  
65 substrates with plastic phenomena induced by experimental protocols of repetitive activation in  
66 small segments of a network. In fact, the impact of these plastic changes on the spontaneous activity  
67 of single neurons remains largely unclear. There are two major difficulties to approach the  
68 experimental study of synaptic plasticity in complex neural networks *in vivo*. First, although it is  
69 accepted that information is encoded as the correlated firing of units within assemblies in a sparse  
70 and highly distributed manner (Nicoletis et al., 1997; Diesman et al., 1999; Harris, 2005), the  
71 stability, composition and dynamics of these assemblies are unknown. Second, spikes produced by  
72 any individual neuron may have a multisynaptic origin, complicating the correlation of ongoing  
73 changes in spike series with specific variations of incoming activity in one or another presynaptic  
74 population.

75

76 Long term potentiation (LTP) is commonly employed in laboratory models of synaptic  
77 plasticity, in which stimulus-evoked responses are used to detect alterations in unitary or population  
78 excitability induced by the controlled activation of afferent axons (Bliss and Lomo, 1973).  
79 However, few studies have addressed the physiological correlates of LTP (*i.e.*, the role of LTP  
80 during ongoing activity) and its effects on the dynamics of pre- and postsynaptic populations  
81 (Stevens and Zador, 1998; Dragoi et al., 2003; Whitlock et al., 2006; Yun et al., 2007).  
82 Accordingly, it is unclear whether and how potentiation of an input modifies spiking activity in a  
83 postsynaptic population, and whether such effects indicate increased efficiency in spike transfer  
84 from one relay point to the next in the network. We addressed this issue by simultaneously  
85 monitoring pairs of synaptically connected neurons and their associated excitatory stimuli, in order  
86 to sort postsynaptic spikes according to triggering inputs. This was achieved by an approach using  
87 spatially discriminating techniques (Bell and Sejnowski, 1995) that isolates CA3-elicited synaptic  
88 events from CA1 local field potentials (LFPs) as a means to identify spontaneous postsynaptic  
89 spikes in CA1 units related to a specific input (Fernández-Ruiz et al., 2012).

90

91 We previously demonstrated that some of the separated synaptic sources contributing to  
92 hippocampal LFPs are pathway-specific (Makarov et al., 2010; Korovaichuk et al., 2010; Makarova  
93 et al., 2011), describing in some detail the spatio-temporal properties of the ongoing Schaffer input  
94 to CA1 *in vivo* (Fernández-Ruiz et al., 2012). The low firing rate and functional clustering of CA3  
95 pyramidal cells (Thompson and Best., 1989; Takahashi et al., 2010; Kimura et al., 2011) permit  
96 elementary synaptic events to be identified in Schaffer-specific LFPs (*i.e.*, ongoing field EPSPs),  
97 which we term micro-field-EPSPs or  $\mu$ -fEPSPs (Fernández-Ruiz et al., 2012). In the former study,  
98 we showed that  $\mu$ -fEPSPs act as quantal excitatory packages elicited by synchronous firing of  
99 functional assemblies of presynaptic CA3 pyramidal units and that some of these  $\mu$ -fEPSPs can  
100 provoke the firing of CA1 pyramidal cells and interneurons in the absence of additional concurrent  
101 inputs.

102

103 Ongoing pathway-specific synaptic activity permits a monosynaptic relationship to be  
104 established between spikes emitted by the units of presynaptic CA3 assemblies and those fired in  
105 postsynaptic CA1 units. Thus, in anesthetized rats we can quantify ongoing changes in the Schaffer  
106 input to CA1 following LTP, and determine how pairs of pre- and postsynaptic neurons modify  
107 spike transfer compared to the population. We found that the ongoing Schaffer excitation and the  
108 share of postsynaptic spikes fired by Schaffer input specifically in CA1 units increases after LTP  
109 without significant change of the mean firing rate. A re-organization of the presynaptic cell  
110 assemblies synchronously firing to elicit CA1 spikes was also found. Thus the results provide first  
111 time evidence for pathway-specific ongoing plasticity and its impact over spontaneous network  
112 activity consisting on the increased spike transfer between nuclei connected by specific potentiated  
113 channels. These observations complement and extend on classic LTP properties observed by  
114 evoked stimuli by showing their ongoing correlates and supports the view of synfire chains (Abeles,  
115 1991) as a prominent mechanism for information transfer in neural networks.

116  
117

## 118 **2. Results**

119

### 120 **2.1. Schaffer-specific LFPs reflect the ongoing dynamics of the CA3 input to CA1**

121

122 We recorded LFPs and units during irregular activity (*i.e.*, non-theta oscillation) using multisite  
123 linear probes that spanned the CA1 and CA3 fields of the rat hippocampus (**Figure 1A**). Ongoing  
124 LFPs are produced by postsynaptic transmembrane currents in principal cells elicited by  
125 spontaneous synaptic inputs, therefore they contain a time varying contribution of different sources  
126 (Elul, 1972). The CA1 region of the hippocampus has two anatomical advantages facilitating the  
127 separation and identification of presynaptic contributions, such as the palisade arrangement of the  
128 main type of principal neurons (pyramidal cells) and the stratified arrangement of some of their  
129 inputs in specific dendritic domains. These anatomical features make hippocampal LFP profiles  
130 recorded *in source* particularly well suited for application of ICA to separate their mixed  
131 components (here termed as LFP generators) based on their selective spatial contribution and  
132 independent temporal activation (see Methods).

133

134 LFP generators can be considered as dual entities, formed by one homogenous presynaptic  
135 population and the subcellular domain to which their axons project onto target neurons. Each is  
136 characterized by a constant spatial distribution (*i.e.* the joint curve of voltage weights for each  
137 electrode) and a temporal activation varying for different LFP segments (Korovaichuk et al., 2010;  
138 Makrova et al., 2011). The cross-animal stability, pathway specificity and the quantitative  
139 properties of these LFP-generators have been verified previously (Korovaichuk et al., 2010;  
140 Makarova et al., 2011). Representative segments of LFPs (**Figure 1B**) were composed of four  
141 principal LFP-generators (g1-g4, **Figure 1C**). The CA3 population input to ipsilateral CA1  
142 pyramidal cells *via* Schaffer collaterals (or Schaffer LFP-generator, g3) had an easily recognizable  
143 spatial profile with a typical hump in the st. radiatum of the apical dendrites that closely matched  
144 the spatial profile for stimulus-evoked Schaffer fEPSPs. Subthreshold evoked fEPSPs and  
145 spontaneous sharp-wave events (SPW) were also collected exclusively into the Schaffer LFP  
146 component that identified unambiguously the pathway specificity of this LFP-generator (Fernández-  
147 Ruiz et al., 2012).

148

149 Virtual LFPs produced by a single LFP-generator can be reconstructed by multiplying the  
150 specific activity (temporal activation) by the corresponding curve of spatial weights (Korovaichuk  
151 et al. 2010). **Figure 1D** shows virtual LFPs for the Schaffer generator (g3) containing a  
152 recognizable SPW and baseline activity. The spatial distribution of transmembrane current along

153 the anatomy of CA1 pyramidal cells obtained by current-source density (CSD) analysis of these  
154 virtual Schaffer LFPs (**Figure 1E**) revealed a clean spatial distribution of current sinks (excitatory  
155 currents) in the st. radiatum, flanked by passive sources. Such spatial distribution perfectly matched  
156 the known distributions of Schaffer evoked field potentials (Herreras, 1990; Korovaichuk et al.,  
157 2010). On closer inspection, the baseline activity (**Figure 1F**) revealed a regular succession of  
158 wavelets or  $\mu$ -fEPSPs at the gamma frequency ( $45.2 \pm 1.5$  Hz, estimated in autocorrelation  
159 functions;  $n = 6$  animals). Moreover, the CSD distribution of  $\mu$ -fEPSPs was identical to that of  
160 larger SPW events and CA3-evoked field potentials (**Figures. 1E and 1F**).

161  
162 The presynaptic origin of the Schaffer LFP-generator was further assessed by correlating its  
163 temporal dynamics with the firing of CA3 pyramidal cell units. Spike trains from pyramidal cells in  
164 the somatic layers of CA3 and CA1, and of putative interneurons, were isolated and classified  
165 according to their electrophysiological properties (Methods). Representative examples of spike-  
166 triggered averages (STAs) of the Schaffer LFP-generator were constructed for three cell types by  
167 averaging CA1 Schaffer LFPs over spikes of single cells (**Figure 1G**). Only CA3 pyramidal cells  
168 rendered statistically significant STAs of CA1 Schaffer-LFPs that were similar to the evoked  
169 fEPSPs, even in terms of the spatial profile and the location of the inward/outward currents along  
170 the main axis of CA1 pyramidal cells (latency,  $12.1 \pm 0.6$  ms; amplitude,  $50 \pm 6$   $\mu$ V; duration,  $17.4$   
171  $\pm 0.4$  ms;  $n = 67$  CA3 pyramidal cells in 16 animals). These results indicate that the time course of  
172 the Schaffer LFP-generator (the sequence of  $\mu$ -fEPSPs) reflects the envelope of CA1 postsynaptic  
173 currents specifically produced by the firing of presynaptic CA3 pyramidal cells.

174

## 175 **2.2. LTP enhances ongoing CA1 excitation by CA3 input**

176

177 We analyzed how inducing LTP by burst stimulation (BS) of the ipsilateral CA3 affected  
178 spontaneous activity in the CA3-CA1 pathway. The successful induction of LTP was verified by the  
179 augmented amplitude of the evoked fEPSP slope and population spike (PS) (**Figure 2**) recorded in  
180 the st. radiatum and pyramidale, respectively. The results in **Figure 2** correspond to normalized  
181 changes (in % of pre-BS value) of  $n = 6$  experiments (values are mean  $\pm$  s.e.m). The fEPSP slope  
182 increased by  $145 \pm 4$  % of control ( $p < 0.01$ , Student's paired t-test) at 1 hour post-BS and local  
183 injection of aCSF, while the increase was impaired after local administration of CPP delivered 5  
184 min before BS to the CA1 st. radiatum ( $102 \pm 2$  %;  $p > 0.05$ ;  $n = 4$  animals) (gray vs. black traces in  
185 **Figure 2**) confirming the well-known NMDA-receptor dependence of LTP in the Schaffer pathway  
186 (Harris et al., 1984). These effects of BS on evoked responses were stable at least for 2 hours.

187

188 We first estimated whether LTP had any global effect on the raw LFP activity of the CA1 by  
189 computing the spectral power before and after BS (10 min epochs each;  $n = 6$  animals). A moderate  
190 but significant increase ( $p < 0.05$ ; Student's paired t-test) was found both for wide-band analysis  
191 (0.5-300 Hz,  $126 \pm 11\%$  of control power) or specifically in the 30-100 Hz gamma band ( $129 \pm$   
192  $14\%$ ).

193

194 Next we analyzed the effect of LTP-inducing stimulation specifically on the Schaffer  
195 activity. First we examined the overall population activity as measured by the time-envelope of the  
196 power of the Schaffer generator baseline. BS induced a significant and stable increase in the mean  
197 power ( $173 \pm 15\%$  of control value, 10 min epochs;  $p < 0.005$ , Student's paired t-test), indicating  
198 effective potentiation of the spontaneous synaptic activity of the CA3 onto CA1. A representative  
199 example demonstrating the temporal evolution of the Schaffer LFP-generator during spontaneous  
200 activity under control conditions and after BS is shown in **Figure 3A1**. Administration of the  
201 Glutamate receptor antagonist of the NMDA type CPP through a recording glass pipette (see



202 Methods) prior to BS protocol prevented these changes ( $101 \pm 4$  % of pre-BS value;  $p > 0.05$ )  
203 (**Figure 3A3**).  
204

205 A close examination revealed notable changes in the elementary  $\mu$ -fEPSPs that constituted the  
206 baseline of Schaffer LFPs (**Figure 3A2**). These were sorted using a wavelet transform with the Haar  
207 mother wavelet (Methods). The amplitude and the duration of the Schaffer  $\mu$ -fEPSP events were  
208 extracted and their distributions are shown for a representative experiment in **Figure 3B** (BS was  
209 applied in the presence of CPP and two hours later the same protocol was repeated once the effect  
210 of the drug has gone by dilution in the tissue) while the population data are shown in **Figure 3C**.  
211 Following BS, we observed no significant change in the rate ( $95.2 \pm 1.4\%$  of pre-BS value:  $45.2 \pm$   
212  $1.5$  Hz) or duration (mean:  $100 \pm 2\%$ ; maximum value,  $109 \pm 6\%$ ) of  $\mu$ -fEPSPs, although a  
213 significant increase in amplitude was detected (mean,  $126 \pm 5\%$ ; maximum,  $123 \pm 2\%$ ;  $p < 0.005$ ,  
214 Student's paired  $t$ -test). The effect of BS on the  $\mu$ -fEPSP mean amplitude was also blocked by CPP  
215 (**Figures 3A3** and **3B**, respectively,  $p > 0.05$   $t$ -test: control,  $n = 6$ ; CPP,  $n = 4$ ). Thus, the enhanced  
216 power of the Schaffer LFP-generator is due to an increase in the amplitude (but not the rate) of the  
217 contributing elementary  $\mu$ -fEPSPs, consistent with previous reports of the effects of LTP on  
218 standard evoked fEPSPs (**Figure 2**).  
219

220 These results indicated a global potentiation of Schaffer LFPs following LTP that was much  
221 stronger than that of raw LFPs, highlighting the usefulness of isolating pathway-specific LFPs.  
222

### 223 **2.3. LTP induces sustained changes in pre- and postsynaptic spike activity, and increases the** 224 **efficiency of CA3-CA1 spike transfer** 225

226 Having shown that LTP induction enhances the ongoing CA3 excitatory input to CA1 as reflected  
227 by Schaffer LFPs, we explored whether and how this change affected the firing of individual units  
228 in both pre- and postsynaptic areas. Intuitively we might expect that the spontaneous firing rate in at  
229 least the postsynaptic region would be increased after LTP. The firing rates of pyramidal cells and  
230 interneurons were estimated over 25 min epochs in control conditions and 1 hour after BS ( $n = 6$   
231 animals; **Figure 4A**): i) CA3 pyramidal cells,  $1.6 \pm 0.2$  Hz vs.  $1.5 \pm 0.2$  Hz ( $n = 36$ ); ii) CA3  
232 putative interneurons,  $10.4 \pm 3.4$  Hz vs.  $9.2 \pm 3.0$  Hz ( $n = 10$ ); iii) CA1 pyramidal units,  $1.9 \pm 0.4$   
233 Hz vs.  $1.5 \pm 0.4$  Hz ( $n = 20$ ); iv) CA1 putative interneurons,  $12.3 \pm 4.0$  Hz vs.  $12.8 \pm 2.2$  Hz ( $n = 9$ ).  
234 Thus, BS did not appear to significantly modify the firing rate of either subclass of neurons over  
235 long periods ( $p > 0.05$ ,  $t$ -test). The resulting population invariance was consistent with previous  
236 reports (Martin and Shapiro, 2000; Dragoi et al., 2003), although some individual units exhibited up  
237 to 10-fold variation in the firing rate following LTP (note the log scale in **Figure 4A**), indicating  
238 that cell-specific changes were balanced at the population level. Additional observations guided us  
239 to an alternative explanation for this apparent paradox. We noted that only some CA3 pyramidal  
240 cells potentiated the STA of Schaffer LFPs in the CA1 region (19 out of 28 cells, mean increase =  
241  $159 \pm 7\%$ ; **Figure 4B**). This cell-specific increase in STA did not occur following CPP injection ( $p$   
242  $> 0.05$ , Student's paired  $t$ -test;  $n = 4$  animals) and thus only a fraction of active presynaptic units  
243 appeared to be involved in the potentiation observed at the postsynaptic site.  
244

245 We next analyzed the alterations in the dynamics of pre- and postsynaptic units (see **Figure**  
246 **5** for a scheme of all temporal relations in the synaptic chain). We previously reported that each  $\mu$ -  
247 fEPSP is elicited by a functional cluster of CA3 pyramidal cells to which individual pyramidal  
248 neurons contribute in a variable manner (*i.e.*, a fraction of spikes in each individual CA3 pyramidal  
249 cell is coupled temporally with  $\mu$ -fEPSPs, so called *in cluster* firings; **Figure 5**, green lines in left  
250 panel). All pyramidal CA3 units examined contribute to the generation of  $\mu$ -fEPSPs in the CA1  
251 (Type I relationship), with a mean 23% of their spikes fired in synchrony with spikes of other cells

252 forming a functional assembly, which jointly elicit  $\mu$ -fEPSPs (*in-cluster* spikes). Moreover, a  
253 fraction of the spikes in each CA1 unit are temporally locked to  $\mu$ -fEPSPs, known as Schaffer  
254 spikes (Type II relationship) (**Figure 5** blue lines in left panel). Excitatory Schaffer input  
255 contributes decisively to 11% of the spikes in 20 out of 29 (70%) of CA1 pyramidal cells  
256 (Fernández-Ruiz et al., 2012). Thus, we searched for dual and triple coincidences of these three  
257 elements in the synaptic chain using time windows appropriate to ensure monosynaptic excitation  
258 and we determined their significance using a surrogate test (see **Figure 5** central panel and  
259 Methods). The test for triple coincidences (see **Figure 5** right panel and Methods) selects the pre-  
260 and postsynaptic spikes to be correlated and unveils temporal correlations that would normally  
261 remain buried in standard dual correlations (see **Figure 5** middle plot in central panel). It is built by  
262 cross-correlating presynaptic in cluster firings to postsynaptic Schaffer-spikes, and indicated pairs  
263 of functionally connected cells in which the presynaptic unit contributed to a  $\mu$ -fEPSP, which in  
264 turn fired spikes in a postsynaptic unit (**Figure 5** magenta lines in left panel and yellow box in  
265 contour densitogram of right panel). Positively correlated pairs of units are better appreciated in  
266 pseudo-3D plots (**Figure 5** right).

### 267 268 **2.3.1. Upstream (presynaptic) changes**

269  
270 In CA3 pyramidal neurons, BS significantly increased the proportion of spikes monosynaptically  
271 associated with  $\mu$ -fEPSPs ( $119 \pm 3\%$  of controls,  $p < 0.005$ , Student's *t*-test; **Figure 6**), specifically  
272 in cells exhibiting a potentiated STA (**Figure 6B**). Thus, LTP increased the percentage of spikes  
273 fired by CA3 pyramidal cells that contributed to  $\mu$ -fEPSPs, indicating more frequent recruitment of  
274 presynaptic units into effective functional assemblies.

### 275 276 **2.3.2 Downstream (postsynaptic) changes**

277  
278 We analyzed the changes in the rate of Schaffer-driven spikes in CA1 units (*i.e.*, the proportion of  
279 spikes time-locked to  $\mu$ -fEPSPs within a monosynaptic time window: 2-6 ms). After BS, the  
280 percentage of Schaffer-driven spikes increased significantly in both pyramidal cells ( $174 \pm 20\%$  of  
281 pre-BS value;  $p < 0.001$ , Student's *t*-test;  $n = 15$ ; **Figure 7A** green triangles) and interneurons ( $134$   
282  $\pm 16\%$ ;  $p < 0.05$ , *t*-test;  $n = 9$ ; magenta squares). This result contrasted to the comparison of  
283 unsorted spike trains that did not show any increase in the population firing rate (**Figure 4A**). Thus  
284 the extraction of Schaffer-driven spikes let us visualize the pathway-specific effect of LTP  
285 induction on the spike production by postsynaptic CA1 cells that otherwise would remain hidden.

### 286 287 **2.3.3. Changes in CA3-CA1 spike transfer efficiency**

288  
289 Finally, we analyzed the ability of spikes in CA3 units/assemblies to generate spikes in CA1,  
290 without the concurrence of other inputs. This was achieved by evaluating triple correlations: CA3  
291 spikes/ $\mu$ -fEPSPs/CA1 spikes (**Figure 7B**). Densitograms cross-checking CA3 spikes that  
292 contributed to  $\mu$ -fEPSPs against  $\mu$ -fEPSPs firing CA1 spikes were computed over 10 min epochs  
293 before and 1 hour after BS. We estimated the density of coincidences in a 6 x 8 ms monosynaptic  
294 time window (yellow rectangle in contour densitograms and central peak in pseudo-3D pots: **Figure**  
295 **7B**) relative to a 30 x 30 ms reference window (or *a/b* ratio) as a measure of the strength of  
296 monosynaptic connection. Cell pairs were classified on the basis of whether the STAs in the  
297 presynaptic unit were potentiated (19 out of 28) or not (9 out of 28: **Figure 4B**), and the results  
298 were crosschecked with all the simultaneously recorded postsynaptic CA1 units. In the potentiated  
299 group, 12 of the 68 cell pairs exhibited efficient postsynaptic driving (*i.e.*, the spike fired by the  
300 postsynaptic cell was temporally locked with a  $\mu$ -fEPSP). Indeed, the *a/b* ratio increased following  
301 LTP induction in all cases (*a/b* ratio =  $133 \pm 9\%$  of pre-BS value;  $p < 0.05$ , Student's *t*-test) as

302 evident by the higher density in the monosynaptic window in the example of **Figure 7B**. A reduced  
303 background was often found after BS (3D plots in **Figure 7B**). Globally, the results indicate  
304 enhanced synaptic transmission and spike transfer between these cells. Both, the augmented density  
305 in the monosynaptic window and the reduced background contributed to the increased cell-to-  
306 population salience of potentiated CA3-CA1 cell pairs after BS, as captured by the a/b ratio.  
307 Interestingly, no changes were observed in the non-potentiated CA3-to- $\mu$ -fEPSP group (a/b ratio =  
308  $106 \pm 9$  % of pre-BS value, estimated from 8 out of 32 functionally connected pairs;  $p > 0.05$ ,  
309 Student's *t*-test). These results demonstrate that LTP exerts a cell-specific effect on ongoing  
310 synaptic transmission, affecting both pyramidal cell and interneuron populations, and with a  
311 measurable impact on the output of the target region.  
312

### 313 **3. Discussion**

314  
315 The multiple combinations of concurrent synaptic inputs that initiate outgoing spikes represent a  
316 major obstacle to analyze the informational content of temporal spike series recorded in a given  
317 brain region. In the present study, we isolate one such input (Schaffer to CA1) and studied its  
318 plastic modulation following the induction of LTP. The retrieval of elementary Schaffer-specific  $\mu$ -  
319 fEPSPs in the CA1 region allowed us to link the spiking activity of CA1 and CA3 pyramidal  
320 neurons, and to identify monosynaptically connected cell pairs (Fernández-Ruiz et al., 2012). Thus,  
321 Schaffer-driven spikes in a postsynaptic CA1 unit could be sorted and the spike transfer in this  
322 section of hippocampal circuit quantified. Burst stimulation of the ipsilateral CA3 region produced  
323 a sustained increase in the Schaffer excitatory drive to the CA1 by increasing the amplitude of  
324 elementary  $\mu$ -fEPSPs, without altering the rate or duration of events. In turn, this response  
325 augmented the proportion of Schaffer-driven spikes in the spontaneous output of CA1 units without  
326 changing the global population firing rate. To our knowledge, this is the first evidence that LTP  
327 induction produces a pathway-specific enhancement of ongoing activity that is effectively  
328 propagated to subsequent relays of the network. Taken together, our results suggest a sustained cell-  
329 pair specific increase in spike transfer along potentiated sections of the hippocampal circuit that are  
330 reminiscent of learning-induced memory traces.  
331

332 The success and functionality of LTP-induction protocols are normally evaluated by estimating  
333 the firing probability of single cells or the population increment of fEPSPs following test stimuli  
334 (Bliss and Lømo, 1973; Martin et al., 2000; Lynch, 2004). However, changes in evoked activity do  
335 not necessarily translate into spontaneous activity (e.g., Martin and Shapiro, 2000) since evoked  
336 responses only assess the sensitivity but not the activity of the stimulated pathway, inasmuch as  
337 spiking activity is the result of multiple convergent pathways. On the other hand, there have been  
338 few attempts to relate changes in LFPs associated to LTP induction (e.g., Bikbaev and Manahan-  
339 Vaughan, 2007) but changes could not be assigned to specific presynaptic populations. Evaluating  
340 the impact of one specific pathway among all the convergent inputs to a given brain area in  
341 spontaneous conditions is hard to achieve by standard experimental approaches. The reported  
342 effects of LTP protocols on spontaneous firing of hippocampal neurons are globally confusing,  
343 some increasing some decreasing, even within the same population and essay (Deadwyler et al  
344 1976; Kimura and Pavlides, 2000; Martin and Shapiro, 2000; Dragoi et al., 2003). Amongst  
345 possible confounding factors is the fact that external activation of axon bundles or nearby groups of  
346 cells does not reproduce the natural activation of specific groups of fibers or cell clusters in  
347 behaving animals through which correlated activity flows normally. The so forced cooperativity  
348 amongst non-natural groups of individual inputs may be variably decoded and weighed by  
349 postsynaptic neurons. Besides, the output of principal cells is heavily controlled by several local  
350 inhibitory networks, and it is known that Schaffer collaterals activate CA1 interneurons some of  
351 which also undergo LTP (Maccaferri and McBain, 1996; Kullman and Lamsa, 2007), making it

352 difficult to predict whether spike transfer will be enhanced by increased excitation of principal cells,  
353 or balanced by changes in inhibitory tone. In these work, we were able to clarify this issue as we  
354 found that BS induces the sustained increase in the excitatory input from the CA3 to CA1.  
355 Furthermore, this increase in excitation was effective in the output of all the CA1 cell types  
356 targeted, as the proportion of Schaffer-driven spikes increases in both pyramidal cells and  
357 interneurons. In agreement with previous studies (Martin and Shapiro, 2000, Dragoi et al., 2003), no  
358 change was evident in the mean population firing rate of CA1 pyramidal cells, despite visible LTP  
359 of evoked responses. However, we go further by showing that the increased proportion of Schaffer-  
360 driven spikes in these pyramidal neurons ensured that LTP projected the CA3 output beyond the  
361 CA1 to successive relay points in the network, thus exerting a greater impact than other synaptic  
362 inputs that converge on CA1 units. This mechanism is consistent with the view of plasticity in  
363 synfire chains, in which a pathway-specific origin of some spikes is required (Abeles 1991). It also  
364 agrees with reports showing transsynaptic propagation of plasticity through and beyond  
365 hippocampal stations (Yeckel and Berger, 1990; Davis et al., 1996).

366  
367 Classic features of LTP conventionally evaluated include pathway specificity and  
368 cooperativity. Since we used here  $\mu$ -fEPSPs elicited by presynaptic clusters to link pre- and  
369 postsynaptic cells, the present results show that expression of ongoing LTP is cell- and cluster-  
370 specific, and dependent on pre-existing anatomo-functional (hard-wired) connections between pre-  
371 and postsynaptic units (*i.e.*, cell pairs that show greater-than-chance pre- and postsynaptic spike-  
372 locking to  $\mu$ -fEPSPs before LTP induction). Indeed, enhanced STA of CA1 Schaffer LFPs by the  
373 presynaptic CA3 unit and increased CA3-CA1 spike transfer was observed only in cell pairs in  
374 which Schaffer excitatory packages were capable of generating postsynaptic spikes in control  
375 conditions. Although we found no functional evidence for newly connected cell pairs following  
376 LTP, such possibility cannot be ruled out. We thus infer that lasting changes in spike transfer are  
377 more efficiently expressed when the activated set of cells/fibers coincides with those forming  
378 natural assemblies assorted by former experience. This result also contributes to the view that the  
379 fundamental computational entity in neural circuits is the cell assembly and that dynamic and  
380 plastic modulations of their functional connectivity underlie information encoding and storage in  
381 the network (Nicoletis et al., 1997; Harris, 2005; Fernández-Ruiz et al., 2012).

382  
383 It is noteworthy that LTP increases the recruitment of individual CA3 pyramidal neurons to  
384 successful spike-generating  $\mu$ -fEPSPs. Given that these neurons fire synchronously in functional  
385 assemblies (Hájos and, Paulsen, 2009; Takahashi et al., 2010), which appear to be the functional  
386 units that give rise to  $\mu$ -fEPSPs (Fernández-Ruiz et al., 2012), this observation suggests a functional  
387 reorganization of individual contributions to CA3 assemblies following LTP, possibly through the  
388 extensive recurrent networks in the CA3 region (Li et al., 1994). Whether these contribute to  
389 increased size of Schaffer  $\mu$ -fEPSPs and spike transfer across this hippocampal segment is unclear.  
390 However, it should call our attention to upstream changes that may occur upon LTP protocols and  
391 could go unnoticed. For instance, LTP in the CA3 is collateral-specific, *i.e.* it may develop in one  
392 but not all of the postsynaptic populations targeted by CA3 axon collaterals (McNaughton and  
393 Miller, 1986), thus subtle differences in the protocol of induction may alter differentially the effects  
394 on different postsynaptic cell types and regions. LTP has been observed between CA3 pairs of  
395 neurons and evoked potentials, but the associated changes in functional connectivity amongst cell  
396 pairs were balanced at the population level (Debanne et al., 1998; Yun et al., 2007). Since the BS  
397 stimulation we use here seems not to have an impact on the gamma-patterned spontaneous output of  
398 CA3 we may suggest that the presynaptic firing cluster is not noticeably affected by probabilistic  
399 contribution of individual cells. Recording from multiple cells in a cluster would be required to  
400 check for possible changes in their internal dynamics before and after LTP. The invariance of

401 gamma-sequence in CA3 output after LTP indicates the preservation of local network mechanisms  
402 making up the pace, possibly the local inhibitory networks (Hajos and Paulsen, 2009).

403  
404 Unfortunately, little is known about the physiological interpretation and the computational  
405 operations performed by the hippocampus during irregular LFPs (Buzsáki et al., 1983). The  
406 synchronous SPW events that populate these periods have been proposed as markers or predictors  
407 of memory performance (Dupret et al., 2010), and even as natural LTP-inducing stimuli (Buzsáki et  
408 al., 1987). One interesting hypothesis is that the potentiated Schaffer  $\mu$ -fEPSPs between sharp-wave  
409 events may express a natural Hebbian protocol akin to repetitive spike-timing-dependent plasticity  
410 (Caporale and Dan, 2008), particularly since they exhibit associated pre- and postsynaptic firing  
411 within the appropriate time window.

412  
413 There is growing evidence that single experiences are sufficient for memory acquisition (Fyhn  
414 et al. 2002; Nakazawa et al., 2003; Whitlock et al., 2006), and that this form of learning involves the  
415 sustained potentiation of evoked f-EPSPs in the CA3-CA1 pathway, as occurs in repetitive learning  
416 (Gruart et al., 2006) and stimulus-induced LTP (Bliss and Lømo, 1973). The use of artificially  
417 evoked or naturally occurring synchronous activity patterns (such as SPW events) to assess synaptic  
418 plasticity (King et al., 1999) is not very informative regarding the asynchronous nature or even the  
419 sign (facilitated or depressed) of the ongoing information transfer, and of the complexity of  
420 computations that are performed in neural circuits. If sustained changes in spike transfer between  
421 specific cells and nuclei occur, they probably underlie changes in neural representations of learned  
422 information and thus, they should be further analyzed to determine their role in behavior as well.  
423 Indeed, single trial memory acquisition is essentially an ongoing activity and no doubt involves  
424 transsynaptic propagation of plasticity through multiple stations in a network. Since it is plausible  
425 that memory traces are represented by structural or activity changes in parallel chains (the multiple  
426 cell-cell connections between two nuclei), classifying spikes based on their triggering inputs will  
427 help determine whether a particular epoch of ongoing cellular activity (*i.e.*, a spike train) constitutes  
428 an element of learned information (e.g. Hirase et al., 2001), thereby facilitating the search for  
429 alterations in the synaptic efficiency of specific pathways that would otherwise remain masked.

430

## 431 **4. Materials and Methods**

432

### 433 **4.1. Experimental procedures**

434

435 Adult female Sprague-Dawley rats were anesthetized with urethane (1.2 g/kg, i.p.) and placed in a  
436 stereotaxic device. Surgical and stereotaxic procedures were performed as previously described  
437 (Canals et al. 2005). A stimulating electrode was placed in the ipsilateral CA3 region for  
438 orthodromic activation of CA1. Linear multisite silicon probes (Neuronexus, Ann Arbor, MI) of 32  
439 recording sites were used to record in 50  $\mu\text{m}$  steps along the main axis of the CA1 pyramidal cell  
440 region, also spanning the DG/CA3 regions. The probes were soaked in DiI (Molecular Probes,  
441 Invitrogen, Carlsbad, CA) before insertion for postmortem evaluation of their placement in  
442 histological sections. A silver chloride wire in the neck skin served as a reference for recordings.  
443 Signals were amplified and acquired using MultiChannel System (Reutlingen, Germany) recording  
444 hardware and software (50 kHz sampling rate).

445

446 LTP was induced by burst stimulation (BS) of the CA3 pyramidal layer (10 trains at 0.5 Hz,  
447 administering 20 square biphasic pulses (100  $\mu\text{s}$ ) at 200 Hz, which were repeated three times at 5  
448 min intervals, making a total of 600 pulses). The intensity of the stimulus was adjusted to obtain 30-  
449 50% of the maximum CA1 population spike (PS) (200-400  $\mu\text{A}$  range). Stimuli at the same intensity  
450 were presented every 5 s and field responses were averaged over a ten minutes period prior to BS in  
451 order to obtain baseline PS values. The fEPSP baseline values were obtained in the same way but  
452 using sub-threshold pulses (70-200  $\mu\text{A}$  range). The effect of BS on evoked responses was checked  
453 by test stimuli over 5 minutes periods in four epochs, 30, 60, 90 and 120 minutes after BS,  
454 respectively. LTP induction was considered successful when the initial slope of the fEPSP was  
455 augmented by at least 20% for at least 2 hours.

456

457 Wide band LFPs (that included unitary spikes) were recorded in 25 minutes epochs before  
458 BS and between 1 and three hours after BS. We chose for analysis only epochs of irregular LFP  
459 activity, i.e., theta epochs were rejected (the presence of theta was detected by wavelet spectrum  
460 and Fourier spectrum analyses).

461

462 The experiments were performed in accordance with European Union guidelines  
463 (2003/65/CE) and Spanish regulations (BOE 67/8509-12, 1988) regarding the use of laboratory  
464 animals. The Research Committee of the Cajal Institute approved the experimental protocols.

465

### 466 **4.2. Pharmacological study**

467

468 The pharmacology of LTP was assessed by local application of the NMDA-receptor blocker 3-(( $\pm$ )-  
469 2-carboxypiperazine-4-yl)-propyl-1-phosphonic acid (CPP) obtained from Tocris (Bristol, UK). We  
470 injected microdrops of drug solutions (50-100 nl) through a recording glass pipette (7-12  $\mu\text{m}$  at the  
471 tip) in the vicinity of the recording linear array at the level of the CA1 stratum (st.) radiatum  
472 through a Picospritzer (General Valve) (Canals et al. 2005). Two microdrops were injected  
473 separated by 5 min interval before the BS stimulation. The pipettes were also employed for  
474 extracellular recording to correctly locate the site of injection guided by characteristic Schaffer-  
475 evoked field potentials. The drugs were dissolved in aCSF to a final concentration 50 times higher  
476 than that usually employed *in vitro* (CPP: 0.5 mM).

477

### 478 **4.3. Independent Component and Current Source Density Analyses of LFPs**

479

480 Depth profiles of LFPs in the hippocampus show laminar distribution and contain a time varying  
 481 mixture of synaptic currents from multiple presynaptic origins, making difficult to detect periods  
 482 contributed by only one synaptic input. Taking advantage of the spatial constancy of the electrical  
 483 fields created by synaptic inputs from the same presynaptic population that make contact in a  
 484 narrow dendritic domain of postsynaptic cells we applied blind source separation techniques as the  
 485 Independent Component Analysis (ICA) (Bell and Sejnowski, 1995) to separate spatially  
 486 independent components in laminar LFP profiles, some of which we showed earlier are pathway-  
 487 specific (Korovaichuk et al., 2010; Fernández-Ruiz et al., 2012). Thus, even if several inputs co-  
 488 activate, each produces a postsynaptic potential with different spatial profile and their respective  
 489 time activity is segregated into different components (Makarov et al., 2010; Makarova et al., 2011).  
 490 The location of recording sites in the array relative to anatomical boundaries is assessed by the  
 491 characteristic depth profile of evoked potentials (Herreras 1990) and histological verification.  
 492 Separated component thus reflect a spatiotemporal convolution of the population synaptic activity  
 493 from a specific presynaptic origin.

495 Detailed procedures for ICA of linear profiles of LFPs have been described previously  
 496 (Makarov et al., 2010). The mathematical validation and interpretation of ICA components in  
 497 laminated structures is provided by realistic LFP modeling in Makarova et al. (2011). Briefly, the  
 498 32 simultaneously recorded LFP signals were represented as the weighted sum of the activities of  $N$

499 neuronal sources or LFP-generators:  $u(t) = \sum_{n=1}^N V_n s_n(t)$ , where  $V_n$  and  $s_n(t)$  are the vector of the

500 spatial weights and the time course of the  $n$ -th LFP-generator, respectively. Thus, the raw LFP  
 501 observed at the  $k$ -th electrode tip is a linear mixture of the electrical activity of several independent  
 502 LFP-generators describing transmembrane current source densities in principal cells  $I_n = -\sigma \Delta V_n$ ,  
 503 where  $\sigma$  is the conductivity of the extracellular space. To perform the ICA we employed the  
 504 infomax algorithm (Bell and Sejnowski, 1995) using the EEGLAB Matlab toolbox (Delorme and  
 505 Makeig, 2004), which returns the activations  $s_n(t)$  and spatial weights  $V_n$  of up to 32 LFP-  
 506 generators. Only a few generators exhibited significant amplitude and spatial distribution (e.g., four  
 507 in **Figure 1**). Once extracted from the raw LFPs, each LFP-generator can be analyzed  
 508 independently by re-constructing virtual LFPs produced by a single generator:  $u_j(t) = V_j s_j(t)$ . The  
 509 subsequent evaluation of the CSD created by this generator allows a comparison to be made with  
 510 the spatial distributions of the currents during the specific activation of known pathways  
 511 (Korovaichuk et al., 2010). The pathway specificity of some ICA-isolated components is assessed  
 512 by their selective capturing of subthreshold evoked synaptic currents of specific populations or axon  
 513 bundles (Korovaichuk et al., 2010) and by selective cross-correlation of identified presynaptic units  
 514 with the temporal envelope of the separated component (Fernández-Ruiz et al., 2012).

515  
 516 The time evolution of the power of an LFP-generator is given by (measured in  $\text{mV}^2$ ):  
 517  $P(t) = \int H(t - \tau) v^2(\tau) d\tau$ , where  $v(t)$  is the virtual LFP at the electrode with maximal power and  $H$   
 518 is the appropriately scaled square kernel of the length  $\Delta$ . The mean power is then defined for  $\Delta$   
 519 extended to the complete time interval (about ten minutes in our experiments).

520  
 521 CSD analysis (Freeman and Nicholson, 1975) determines the magnitude and location of the net  
 522 transmembrane current generated by neuronal elements contained within a small region of tissue.  
 523 Accordingly, we used the one-dimensional approach, which calculates the CSD from the voltage  
 524 and conductivity gradients along the cells axis. This approach requires homogeneous activation of  
 525 the recorded neuronal population, which is commonly accepted for evoked potentials in the  
 526 hippocampus (Herreras, 1990). While this may not hold for ongoing LFPs whose current generators  
 527 may be spatially restricted, it has been shown not to be the case for Schaffer LFP-generator

528 (Fernández-Ruiz et al., 2012), since the Schaffer collaterals produce homogeneous activation in the  
529 XY-plane, whether spontaneous or synchronous activity. Thus, for the current purposes the ongoing  
530 Schaffer-specific activity is coherent enough as to validate the use of the unidimensional approach  
531 for CSD estimation.

532

#### 533 **4.4. Retrieval and quantification of micro-field excitatory postsynaptic potentials**

534

535 The baseline activity of Schaffer-LFPs is composed of regular succession of small field potential  
536 wavelets at gamma frequency (**Figure 1F**). Each of these wavelets was previously shown to  
537 correspond to an excitatory package elicited in the CA1 pyramidal cell population by synchronous  
538 presynaptic firing of a group of CA3 pyramidal cells or functional cluster, the so called micro-field  
539 excitatory postsynaptic potentials ( $\mu$ -fEPSPs) (Fernández-Ruiz et al., 2012). To study the features  
540 of ongoing  $\mu$ -fEPSPs we measured them as follows.

541

542 Let  $v(t)$  be the Schaffer-specific LFP at the electrode with maximal power (see e.g. **Figure**  
543 **1D**). To identify elementary  $\mu$ -fEPSPs we used the Wavelet Transform of  $v(t)$ :

544

$$W(a,b) = \frac{1}{\sqrt{a}} \int v(t) \psi\left(\frac{t-b}{a}\right) dt$$

545 where  $\psi$  is the Haar mother wavelet (well suited for detection of short pulses in a signal),  $a$  is the  
546 time scale and  $b$  is the localization in time. We then rectified the wavelet coefficients using the  
547 following equation:

548

$$C(a,b) = \frac{1}{\sqrt{a}} \max(-W(a,b), 0)$$

549 The 2D surface obtained describes the local linear fit of the Schaffer-specific LFP by the  
550 pulse-like function (Haar) at the scale  $a$  and localization  $b$ . Large absolute values of  $C(a,b)$  at a  
551 given time instant and scale correspond to abrupt pulse-like transitions in  $v(t)$ . Thus we can  
552 associate such points in the  $(b,a)$ -plane with singular LFP events. Consequently, the local maxima  
553

$$(a,b)_k = \arg \max_{\omega_k} (C(a,b))$$

554 define the time instants of  $\mu$ -fEPSPs (given by  $t_k = b_k - a_k/2$ ), their duration (given by  $a_k$ ), and  
555 amplitudes (given by  $A_k = C(a_k, b_k)$ ). It should be noted that the identification of  $\mu$ -fEPSPs is blind;  
556 hence their significant correlation with CA3 or CA1 spikes corroborates the reliability of the  
557 technique (see also Fernández-Ruiz et al., 2012).

558

#### 559 **4.5. Spike sorting, unit classification and statistical tests**

560

561 Spike trains of individual units were obtained from unfiltered recordings using wavelet-enhanced  
562 spike sorting (Pavlov et al., 2007) and local CSD methods. Units were classified into two  
563 subclasses, pyramidal cells and putative interneurons, according to the location of the recording site  
564 (within or outside the pyramidal body layer) and additional standard electrophysiological criteria  
565 (Csicsvari et al., 1998): i) spike width ( $>0.4$  ms and  $<0.4$  ms for pyramids and putative interneurons,  
566 respectively); ii) mean firing rate ( $<5$ Hz vs.  $>5$ Hz); iii) relation to theta rhythm (firing rate  
567 decreases for pyramidal cells and increases or remains unchanged for interneurons); iv) pattern of  
568 firing (isolated spikes vs. bursting); v) presence of complex spikes (in pyramidal cells only); and vi)  
569 the decay of autocorrelograms (fast vs. slow). The total number of units employed in this study is  
570 limited by the use of linear tracks of recording sites required to collect spatial maps of LFPs for  
571 ICA. Typically, 1-3 CA3 and 1-2 CA1 pyramidal cells were successfully isolated per recording.

572

573 Spike-triggered averages (STAs) of CA1 LFPs were obtained from spikes series of single CA3  
574 units containing at least 1500 spikes. The level of significance was determined using the surrogate



575 test (1,000 trains with randomly shuffled inter-event intervals:  $\alpha \leq 0.05$ ). The standard Student's  $t$ -  
576 test was employed to analyze the differences between two sample means. The casual ratio of  
577 coincident spikes was estimated analytically assuming a Poisson distribution of the number of  
578 events within a given time interval.

#### 580 4.6. Indices of in-cluster presynaptic firing, Schaffer-driven spikes, and spike transfer rate

581  
582 The availability of ongoing Schaffer-specific  $\mu$ -fEPSPs enables the study of neuron-to-population  
583 ongoing relations locally amongst CA3 neurons and between these and postsynaptic CA1 neurons.  
584 Temporal relationships between spikes of presynaptic or postsynaptic units and  $\mu$ -fEPSPs were  
585 defined as coincidences with appropriated time windows for monosynaptic connection. For  
586 simplicity we assume that only postsynaptic spikes time-related to individual Schaffer  $\mu$ -fEPSPs are  
587 initiated by significant input from this pathway. Several indices were defined to quantify three types  
588 of coincidences between elements in the synaptic chain.

589  
590 *In-cluster presynaptic firing.* Spontaneous firing of CA3 cells occurs with a high degree of  
591 synchrony within functional clusters or groups of pyramidal cells (Hájos and Paulsen, 2009;  
592 Takahashi et al., 2010). In previous work we reported that each  $\mu$ -fEPSP composing the baseline of  
593 Schaffer-LPFs is produced by co-firing of a group of CA3 pyramidal cells (Fernández-Ruiz et al.,  
594 2012). Firing of a unit outside the functional cluster does not produce strong enough (readable)  $\mu$ -  
595 fEPSP. To quantify the presynaptic “in-cluster” firings (or Type I coincidences) we introduced the  
596 following index:

$$597 \quad R_{in-clust} = \frac{N_{CA3, \mu-fEPSP}}{N_{CA3}}$$

598 where  $N_{CA3}$  is the number of spikes of a CA3 pyramidal neuron and  $N_{CA3, \mu-fEPSP}$  is the number of  
599  $\mu$ -fEPSP events synchronous (0-8 ms latency) with CA3 firings. This index implicitly describes the  
600 variability of functional clusters, e.g. small  $R_{in-clust}$  value suggests that the neuron rarely participates  
601 in clustered firings, whereas  $R_{in-clust} \approx 1$  indicates that the neuron always fires synchronously with  
602 other neurons.

603  
604 *Schaffer-driven spikes.* Postsynaptic spikes typically have a variable and possibly multisynaptic  
605 origin. We sort those spikes produced by CA1 neurons that are exclusively or decisively initiated by  
606 only one of the multiples inputs, the Schaffer synaptic input. To quantify the ratio of spikes of CA1  
607 pyramidal neurons causally associated with  $\mu$ -fEPSP events (or Type II coincidences), we  
608 introduced the index of Schaffer-driven CA1 spikes:

$$609 \quad R_{Sch-driven} = \frac{N_{\mu-fEPSP, CA1}}{N_{CA1}}$$

610 where  $N_{CA1}$  is the number of spikes of a CA1 pyramidal neuron and  $N_{\mu-fEPSP, CA1}$  is the number of  
611 CA1 spikes synchronous (0-6 ms latency) with  $\mu$ LFP-events. Small  $R_{Sch-driven}$  value suggests that  
612 the Schaffer input has no effect on firing of CA1 cell, whereas  $R_{Sch-driven} \approx 1$  indicates that the output  
613 of the CA1 neuron is completely conditioned by the Schaffer input.

614 *Spike transfer rate.* Spike transfer amongst synaptically connected units is normally examined by  
615 cross-correlating spike trains of pre and postsynaptic units. The multiple synaptic origins of spikes  
616 in a train series make these correlations poorly informative since only a fraction of them is fired by  
617 input from the examined afferent pathway. The availability of pathway-specific mediating  $\mu$ -  
618 fEPSPs enables narrowing the study by selecting spikes in both sides that are time-locked to  
619 excitatory events from a unique presynaptic population (Fernández-Ruiz et al., 2012). It is

620 important to note that the triple correlation implicitly tackles successful spike production in the  
621 postsynaptic side, enabling the estimation of cell-to-cell spike transfer rate to be quantified in non-  
622 stimulated conditions. Triple coincidences (also termed Type III) thus represent presynaptic CA3  
623 spikes time-locked to  $\mu$ -fEPSP events, which in turn drive postsynaptic spikes in CA1 cells. We  
624 represented these triple correlations in two-dimensional densitograms in which we considered  
625 successful monosynaptic coincidences those falling within time window of 6x8 ms (or time window  
626  $a$ ). The density of cell-to-cell efficient monosynaptic events was normalized to the density of casual  
627 events in a 30 x 30 ms time window  $b$

628 
$$R_{\text{Spike-transfer}} = \frac{D_a}{D_b}$$

629 A ratio higher than 1.2 (20% growth) was considered indicative of an effective functional  
630 monosynaptic connection in the CA3-CA1 neuronal pair. This way of selecting postsynaptic spikes  
631 is akin to histograms of firing probability in evoked responses upon Schaffer electrical stimuli:  
632 those fired out of the evoked fEPSP time window are excluded since their synaptic trigger is  
633 unknown.

634

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765  
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767

768 Figure Legends

769

770 **Figure 1 Separation and characterization of Schaffer-specific  $\mu$ -fEPSP events from raw LFPs.**

771 A: Schematic illustration of the electrode arrangement. *Rec*, recording. B: Linear recordings of raw  
772 LFPs across the CA1 and CA3/DG fields. *Sp*, stratum pyramidale; *sr*, stratum radiatum; *hf*,  
773 hippocampal fissure; *gcl*, granule cell layer; *hil*, hilus. C: The independent component analysis  
774 extracts four significant LFP-generators with distinct activation (1) and spatial distribution profiles  
775 (2): two in the CA1 region (g2 and g3) and two in the DG (g1 and g4). D: Reconstructed Schaffer  
776 specific LFPs corresponding to g3. This generator captures sharp-wave events (SPW) that stand out  
777 from the baseline activity. E: Current source density (CSD) of virtual Schaffer-specific LFPs  
778 renders a unique spatial distribution of inward (blue) and outward currents (yellow-red) for spatially  
779 coherent membrane events (C, D and E correspond to the same LFP segment shown in B). F:  
780 Temporal extension of the baseline activity in the Schaffer generator and its CSD analysis. Note the  
781 discrete regular  $\mu$ -fEPSP events (short wavelets). G: Spike-triggered averages (STA) of the  
782 Schaffer-specific LFPs in the CA1 were obtained from the spikes of individual neurons. Only  
783 presynaptic CA3 pyramidal cells (*CA3<sub>pyr</sub>*), but not the CA3 interneurons (*CA3<sub>int</sub>*) nor CA1 pyramids  
784 (*CA1<sub>pyr</sub>*), rendered STAs with significant amplitude and features analogous to stimulus-evoked  
785 Schaffer fEPSPs.

786

787 **Figure 2 Assessment of LTP induction by Schaffer-evoked field potentials.** LTP was induced by

788 a burst stimulation protocol (BS: see Methods). A, B: initial fEPSP slope and the amplitude of the  
789 population spike (PS). Sample traces shown above the plots correspond to control (thin) and 1 hour  
790 post-BS (thick) in representative experiments following prior application of aCSF (black) or CPP  
791 (gray), respectively. LTP of Schaffer-evoked responses was blocked by injection of the NMDA  
792 receptor's blocker in the vicinity of the probe at recording site in the st. radiatum.

793

794 **Figure 3 Augmentation of ongoing Schaffer-CA1 input following LTP.** LTP is expressed as a

795 sustained increase in the amplitude of spontaneous  $\mu$ -fEPSP events during irregular (non-theta)  
796 LFPs. A: The burst stimulation (BS) protocol applied to the ipsilateral CA3 (time indicated by the  
797 curved arrow) produced a sustained population increase in the power of the reconstructed Schaffer  
798 LFPs (1). Note the larger amplitude of elementary  $\mu$ -fEPSPs in baseline activity (2). The BS effect  
799 was blocked by prior local application of the NMDA-receptor antagonist CPP in the st. radiatum of  
800 CA1 (3). Large bouts of activity correspond to sharp-wave (SPW) events. B: Distribution of the  
801 amplitude (left panel) and duration of  $\mu$ -fEPSPs (right panel) in control conditions, after BS and  
802 after BS in presence of CPP. C: Cross-animal quantification of the effect of BS on the rate,  
803 amplitude and duration of  $\mu$ -fEPSPs in the absence (solid bars) and presence of CPP (dashed bars;  
804 black and grey colors code for maximum and median values of the distribution, respectively: mean  
805  $\pm$  s.e.m., n = 6, Student's paired t-test\*\*p < 0.01).

806

807 **Figure 4 Cell-specific effects of LTP.** A: Mean spontaneous firing rate of CA1 and CA3 cells

808 before and after BS. Each symbol corresponds to a different neuron (n = 6 animals). B:  
809 Representative sample traces (left) and population data (right histogram) for CA3 spike-triggered  
810 averages (STA) of Schaffer LFPs before (gray) and after BS (black) in control conditions, and after  
811 local administration of CPP in the CA1 st. radiatum. The population data correspond to the  
812 subgroup of CA3 pyramidal cells that showed STA potentiation. Vertical dashed lines indicate the  
813 spike time (zero) for LFP averaging (\*\*\*) p < 0.001, Student's paired t-test).

814

815 **Figure 5 Identification of monosynaptically connected cell pairs in the CA3-CA1 network.**

816 Using the excitatory quanta composing the baseline activity of Schaffer-LFPs ( $\mu$ -fEPSPs) allows

817 discriminating synaptically connected CA3 and CA1 units. The illustration of point processes in the  
818 left represents (from top to bottom) the spike train of a presynaptic CA3 pyramidal cell, the  
819 temporal series of  $\mu$ -fEPSP events (blue oval), and a spike train of a postsynaptic CA1 pyramidal  
820 cell. Plausible monosynaptic coincidences (see Methods) are color coded as follows: Type I, green  
821 (in-cluster firings); Type II, blue (Schaffer spikes); Type III, magenta (Spike transfer efficiency).  
822 Significant cross-correlations of Type I (green histogram) and II (blue histogram) do not ensure  
823 significant CA3 to CA1 spike transfer when examined by standard dual histogram (black).  
824 However, the sorting of spikes in the respective trains of the CA3 and CA1 units by a common  
825 temporal locking to  $\mu$ -fEPSPs (Type III) reveals few spikes within a monosynaptic time window of  
826 6x8 ms (yellow box in densitogram) at higher than chance density. The pseudo 3D plot allows a  
827 better visualization of significant triple coincidences (peak marked by red arrow). Horizontal  
828 dashed line marks the significance level ( $\alpha= 0.05$ , Surrogate test), and vertical red line marks zero  
829 time lag.

830  
831 **Figure 6 Persistent upstream changes in the CA3-CA1 pathway.** A: Correlation between  
832 percentage of spikes of potentiated CA3 pyramidal cells monosynaptically related to  $\mu$ -fEPSPs  
833 (Type I relationship) before and after BS. Note that the values fall above the midline. B: Only those  
834 CA3 pyramidal cells exhibiting potentiated spike-triggered averages in CA1 (*pot.*) increase the  
835 post/pre BS *in-cluster* presynaptic firing.

836  
837 **Figure 7 Persistent downstream changes in the CA3-CA1 pathway.** A: Postsynaptic CA1 units  
838 increased their share of Schaffer-driven spikes in long temporal series after BS. This increment was  
839 greater in pyramidal cells (green triangles; *Pyr CA1*) than in interneurons (magenta squares; *Int*  
840 *CA1*: \*\*\*  $p < 0.001$ , \*  $p < 0.05$ , Student's t-test). B: BS increased the spike transfer efficiency and  
841 cell-to-population salience of CA3-CA1 pyramidal cells pairs. Color-coded contour densitograms  
842 and the corresponding pseudo 3D representations plot the density of the time coincidences of CA3  
843 spikes locked monosynaptically to CA1  $\mu$ -fEPSPs against those of CA1 spikes monosynaptically  
844 locked to  $\mu$ -fEPSPs. The monosynaptic window (6 x 8 ms) indicated by the yellow squares captures  
845 successful monosynaptic spike transfer between the two cells. In 3D plots these are grouped in the  
846 peak marked by curved arrow in pre-BS and the main peak in post-BS. Saliency is only increased in  
847 pre-post synaptic cell pairs in which the CA3 unit exhibited potentiated STA of the CA1 Schaffer  
848 LFP.

849  
850

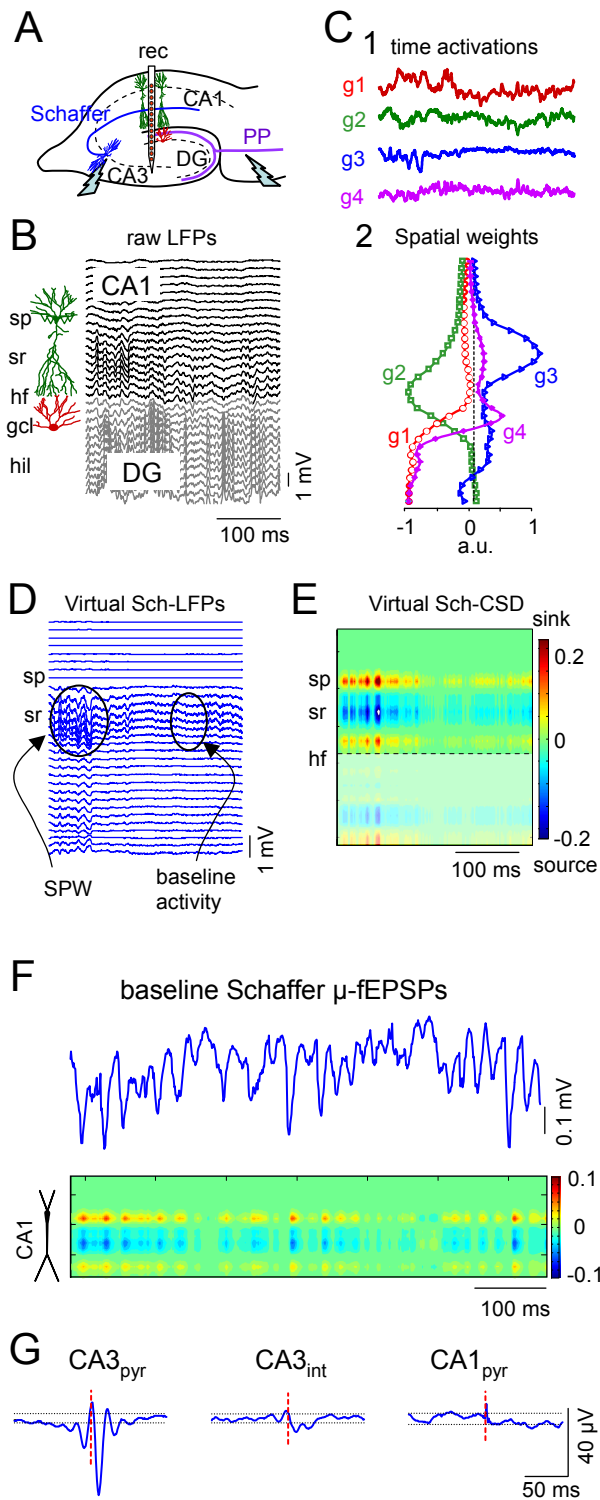


Figure 1



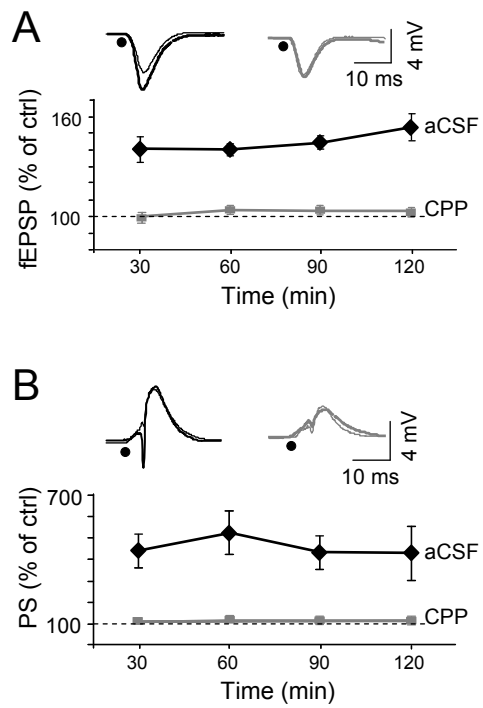


Figure 2

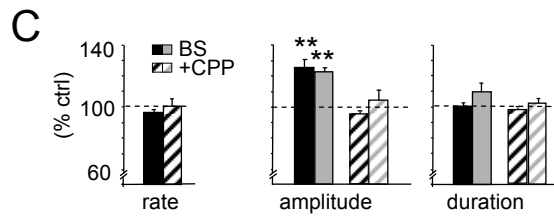
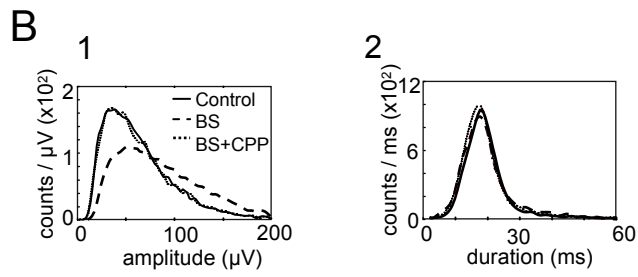
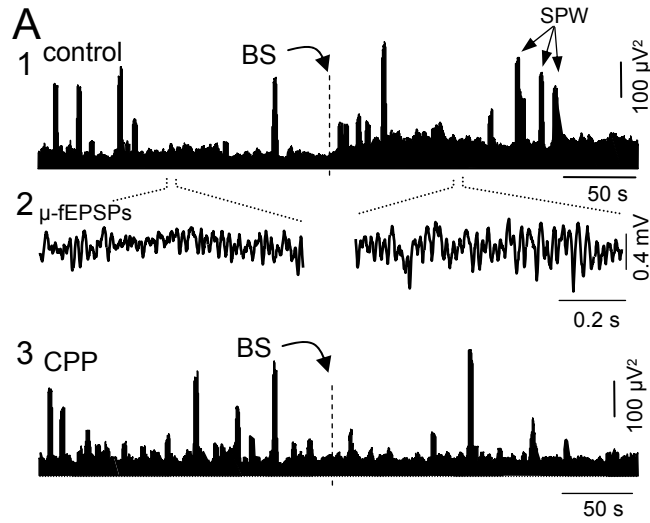


Figure 3

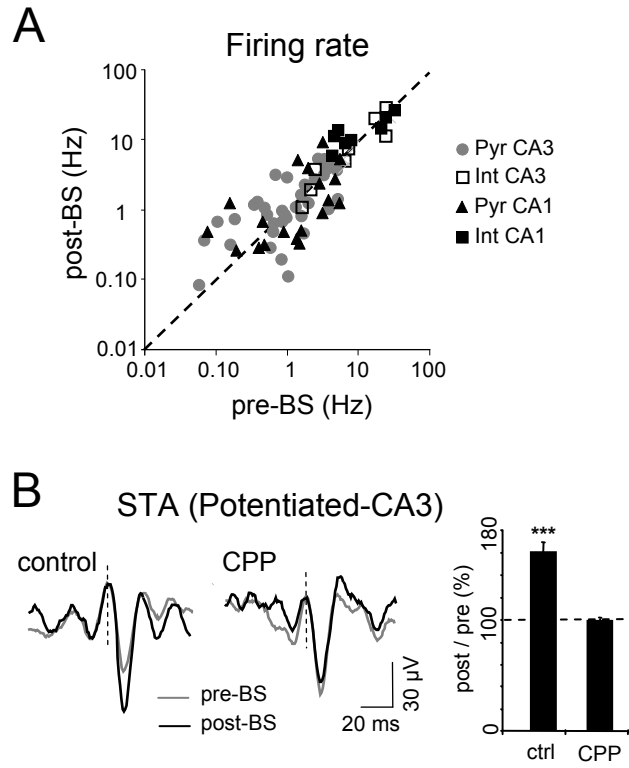


Figure 4

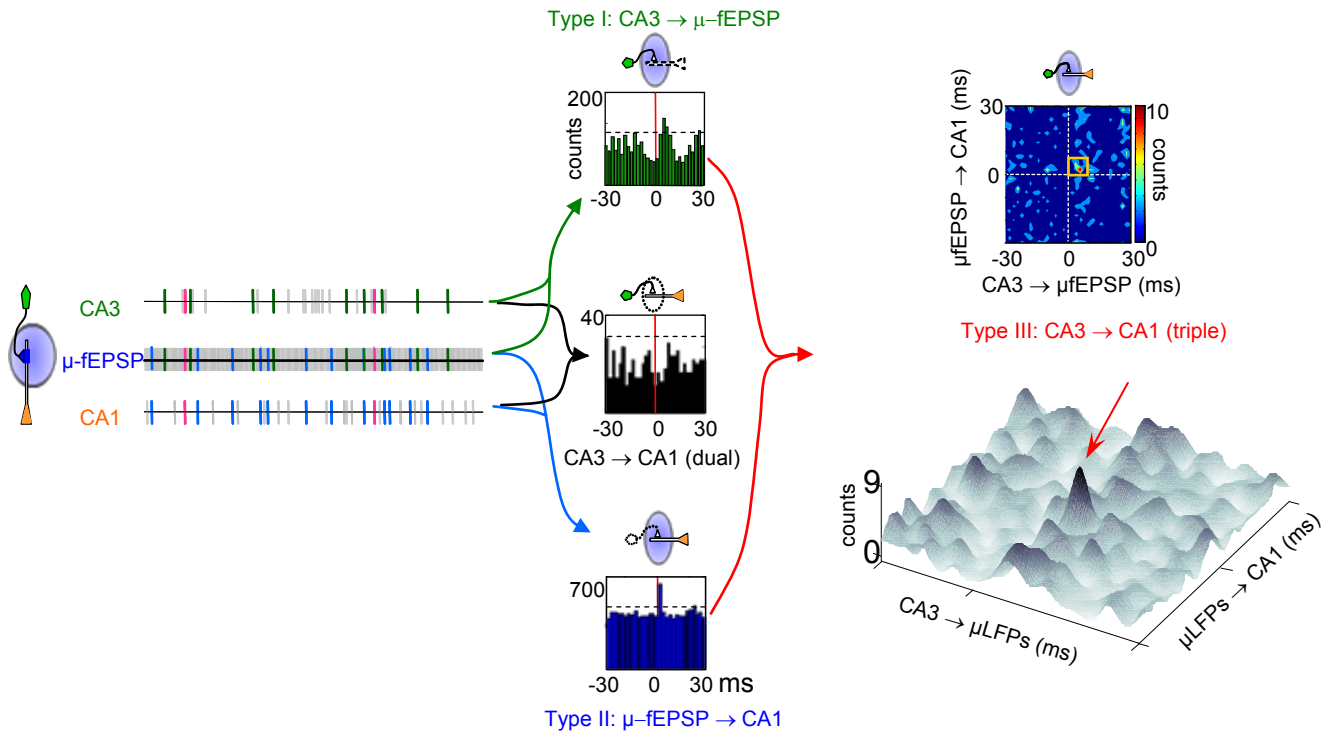


Figure 5

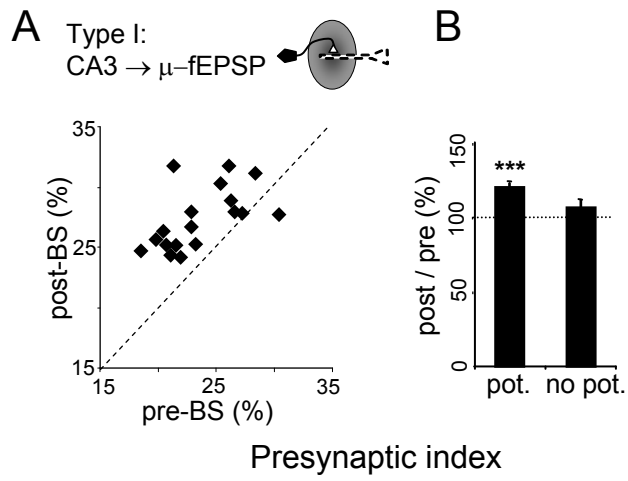
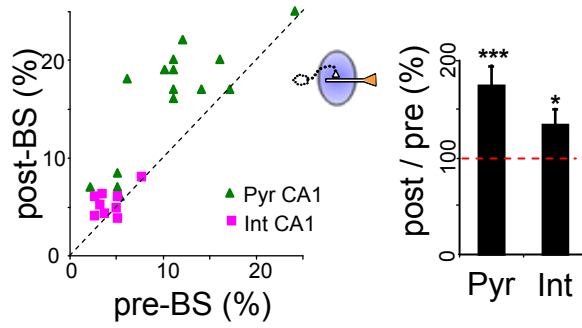


Figure 6

**A**  
Schaffer-spikes (Type II:  $\mu$ -fEPSP  $\rightarrow$  CA1)



**B**  
Spike Transfer (Type III: CA3  $\rightarrow$  CA1)

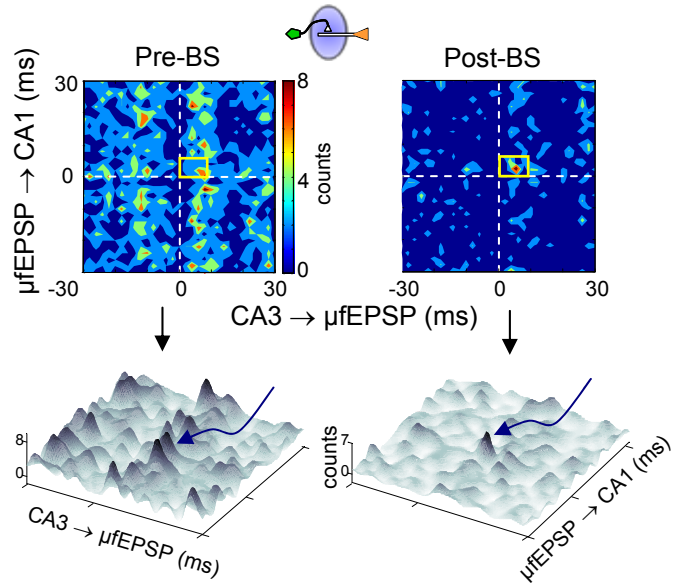


Figure 7

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